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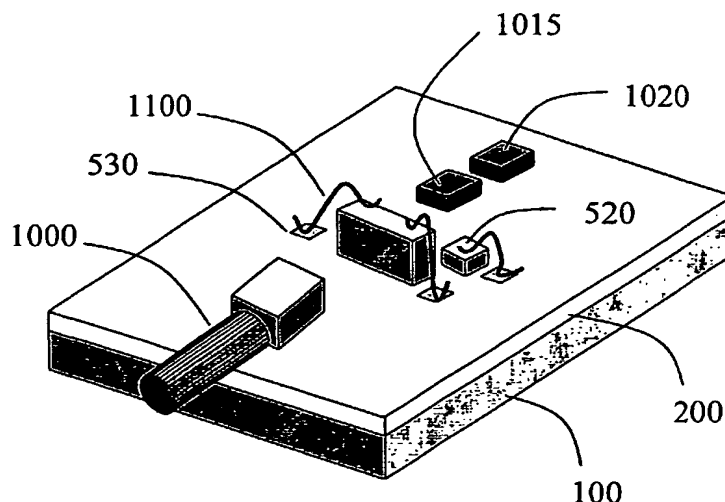
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**Declaration under Rule 4.17:**

— as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD,

[Continued on next page]

(54) Title: **ELECTRONIC COMPONENT PACKAGING AND ASSEMBLY**



(57) Abstract: A packaging layer (200) for a wafer level assembly is fabricated from a glass material comprising both inorganic and organic components. This allows matching between the coefficient of thermal expansion of the packaging layer and that of other materials in the wafer assembly, particularly electrical interconnect materials. It is also possible to introduce properties to support such methods as photolithographic and low temperature processing of the packaging layer. This can improve fabrication accuracy and allows the packaging layer to be used with structures in a wafer assembly which might be damaged by high temperature processing, such as active optoelectronic devices and integrated circuits. Another major advantage is that the glass material can be used to provide optical characteristics as well as mechanical protection. The refractive index and other optical properties can be preselected and thus the glass material can be used for instance for waveguiding and index matching.

WO 2004/027862 A3



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# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/JP 03/04129

28380

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H01L23/15 H01L23/17

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According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H01L H05K C03C G02B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EP0-Internal, PAJ, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages                                                                                   | Relevant to claim No.                                   |
|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| X          | PATENT ABSTRACTS OF JAPAN<br>vol. 1998, no. 11,<br>30 September 1998 (1998-09-30)<br>-& JP 10 150033 A (MATSUSHITA ELECTRIC IND<br>CO LTD), 2 June 1998 (1998-06-02) | 1,2,7,<br>16,24,<br>33,43,<br>44,46                     |
| Y          | abstract                                                                                                                                                             | 12-14,<br>21-23,<br>25,<br>38-42,<br>45,47              |
| A          |                                                                                                                                                                      | 3-6,<br>8-11,15,<br>17-20,<br>26-32,<br>34-37,<br>51,52 |
|            | -----<br>-/--                                                                                                                                                        |                                                         |

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
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- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

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## INTERNATIONAL SEARCH REPORT

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PCT/JP 03/04129

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages                                                                              | Relevant to claim No.                                                                        |
|------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| X<br>A     | US 2001/014429 A1 (IHA MICHIAKI)<br>16 August 2001 (2001-08-16)<br>paragraph [0018] - paragraph [0025]<br><br>paragraph [0047] - paragraph [0062]               | 1,4,15,<br>24,46,47<br>2,3,<br>5-14,<br>16-23,<br>25,<br>31-33,<br>38,39,<br>42-45,<br>51,52 |
| X<br>A     | US 4 696 851 A (PRYOR MICHAEL J)<br>29 September 1987 (1987-09-29)<br>column 5, line 6 - column 6, line 42;<br>figure 1                                         | 1,8-11,<br>17,46<br>2-7,<br>12-16,<br>18-25,<br>31-33,<br>38,39,<br>42-45,<br>47,51,52       |
| Y<br>A     | US 5 478 778 A (TANISAWA YASUHISA)<br>26 December 1995 (1995-12-26)<br>cited in the application<br><br>column 4, line 20 - column 5, line 67;<br>figures 4,5A-C | 12-14,<br>21-23,<br>25,<br>38-42,<br>45,47<br>33                                             |
| A          | US 6 177 728 B1 (SUSKO ROBIN A ET AL)<br>23 January 2001 (2001-01-23)<br>the whole document                                                                     | 1,8-11,<br>46                                                                                |
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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/GB 03/04129

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-47, 51, 52

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International Application No. PCT/GB 03/04129

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-47, 51, 52

Substrate-based assembly suitable for carrying optical or electrical components and comprising a packaging layer, which comprises a hybrid glass material with organic and inorganic components, and method of fabrication.

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2. claim: 48

Method of fabricating a substrate-based assembly comprising a mounted optical component, using lithographic processing of a packaging layer.

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3. claim: 49

Method of fabricating a substrate-based assembly including bump bonding of an optical component.

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4. claim: 50

Method of fabricating a substrate-based assembly including the forming of a tapered groove by gray scale lithography.

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5. claim: 53

Method of fabricating a substrate-based assembly using a planarisation layer with apertures.

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/JP 03/04129

| Patent document<br>cited in search report |    | Publication<br>date | Patent family<br>member(s)                                                                                                                                                                | Publication<br>date                                                                                                                                    |
|-------------------------------------------|----|---------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|
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